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## Application

### Instructions

Annotations records internal notes/comments that are visible to internal staff only. Feedback appends a new section to the bottom of the application that is visible to the applicant and anyone viewing the application.

### Application Details

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### 390478 - Empower Rural Iowa Emergency Broadband Expansion Program (NOFA # 005) - Final Application

#### 397577 - Iowa Empower Rural Broadband - Windstream 2020 Broadband Grant Program - Empower Rural Iowa

Status: Submitted

Submitted Date: 02/25/2021 4:06 PM Submitted By: Paul Frederick Jarvis

### Applicant Information

#### Primary Contact:

AnA User Id PAUL.JARVIS@IOWAID

First Name*	Paul	Frederick	Jarvis
	<small>First Name</small>	<small>Middle Name</small>	<small>Last Name</small>

Title:

Email\*: [Paul.F.Jarvis@windstream.com](mailto:Paul.F.Jarvis@windstream.com)Address\*: 4001 North Rodney Parham Road  
Mailstop 1170-B3F04-41

City*	Little Rock	Arkansas	72212
	<small>City</small>	<small>State/Province</small>	<small>Postal Code/Zip</small>

Phone:*	501-748-3511	
	<small>Phone</small>	<small>Ext.</small>

Program Area of Interest\* Broadband Deployment

Fax:

Agency

#### Organization Information

Organization Name\*: Windstream Services, LLC

Organization Type\*: For-Profit – Publicly Traded

DUNS:

Organization Website: [www.windstream.com](http://www.windstream.com)Address: 4001 North Rodney Parham Road  
Mailstop 1170-B3F04-41

Phone:	501-748-3511	
	<small>City</small>	<small>State/Province</small>

	Little Rock	Arkansas	72212
	<small>City</small>	<small>State/Province</small>	<small>Postal Code/Zip</small>

Fax:

Benefactor

Vendor Number

**Cover Sheet-General Information****Authorized Official**

**Name\*** Jason Tompkins  
**Title\*** Senior Consultant  
**Organization\*** Windstream Services, LLC  
*If you are an individual, please provide your First and Last Name.*  
**Address\*** 4001 N. Rodney Parham  
  
**City/State/Zip\*** Little Rock Arkansas 72112  
City State Zip  
**Telephone Number\*** 501-748-5059  
**E-Mail\*** [jason.tompkins@windstream.com](mailto:jason.tompkins@windstream.com)

**Fiscal Officer/Agent**

*Please enter the "Fiscal Officer" for your Organization.*

*If you are an individual, please provide your First and Last Name.*

**Name\*** Tim Loken  
**Title** Directory-Regulatory Reporting  
**Organization** Windstream Services, LLC  
**Address** 4001 N Rodney Parham Rd  
Building 1, Floor 2  
  
**City/State/Zip** Little Rock Arkansas 72212  
City State Zip  
**Telephone Number** 501-748-7442  
**E-Mail** [Tim.P.Loken@Windstream.com](mailto:Tim.P.Loken@Windstream.com)  
**County(ies) Participating, Involved, or Affected by this Proposal\*** Union County  
**Congressional District(s) Involved or Affected by this Proposal\*** 3rd - Rep Cindy Axne  
[Congressional Map](#)  
**Iowa Senate District(s) Involved or Affected by this Proposal\*** 11  
[District Map](#)  
**Iowa House District(s) Involved or Affected by this Proposal\*** 21  
[District Map](#)

**Business Organization - NOFA #005**

**Business Legal Name\*** Windstream Services, LLC  
**Mailing Address**  
**Street \*** 4001 N Rodney Parham Rd  
**City\*** Little Rock  
**State\*** AR  
**Zip\*** 72212  
**Alternate Mailing Address (used for warrants and/or payments)**  
**Alternate Street**  
**Alternate City**  
**Alternate State**  
**Alternate Zip**

**Additional Information**

Please name and upload file "Application Number - Applicant Name - Business Organization". File can be a PDF or Word Document.

\* **397577 - Windstream - Business Organization.docx**

**Public Redacted Broadband Additional Information**

Is the Applicant a Communications Service Provider as defined by Iowa Code chapter 8B, including but not limited to private sector carriers, local governments, utilities, and other entities that provide or intend to provide Broadband service.

Communication Service Provider\* Yes

## Executive Project Summary NOFA #005

This section requires an Applicant to summarize, at a high level, its proposed Project, including but not limited to the geographic area in which the Project will occur, the primary deployment model (e.g., wireline versus wireless), and a general overview of how Applicant has/will comply with the terms, conditions, and requirements of this NOFA #5. Please explain why CARES Act funds are necessary for the Project to proceed and how the Project will or will not proceed if the requested funds are not ultimately Awarded. Finally, please explain the current status of the Project, including the phase it is in, such as pre-planning, planning, or that building has commenced Name and upload this file "Application Number - Applicant Name - Executive Project Summary". This can be a PDF or Word Document.

Executive Project Summary\* **397577 - Windstream - Executive Project Summary.docx**

The section requires Applicant to affirm whether or not federal funds are necessary for the Project to proceed.

Are federal Funds Necessary for the Project to proceed?\* Yes

This section requires an Applicant to describe how the Project will or will not proceed if State funds requested are not ultimately awarded.

Describe how the Project will or will not proceed if federal funds requested are not ultimately awarded.\*

The status of future federal funding under RDOF is uncertain as of this application filing. If RDOF funds are ultimately awarded, there is a 6 year window to complete the builds. This funding from NOFA #005 will ensure the build is completed this year. If Windstream is awarded funding from NOFA #005, the proposed build will proceed as required under the terms of NOFA #005 even if Windstream's long form application is rejected by the FCC and RDOF awards are denied.

## Project Status

Project Milestone	Estimated Completion date	Costs Incurred
Planning/Engineering/Permitting	05/28/2021	\$0.00
Fiber Construction	10/15/2021	\$0.00
Testing and Qualification	12/01/2021	\$0.00

## Demonstrated Experience NOFA #005

This section requires information about Applicant's demonstrated experience in provisioning Broadband across the State of Iowa. Applicant shall include relevant information about their experience that has prepared them to deploy their Project, such as, for example, community partnerships and services; number of years in business; number of years of experience providing the types of services sought by this NOFA #005; if the Applicant is a relatively new provider/market entrant, an explanation of the benefits, if any, of being a newer provider/market entrant; the level of technical experience in providing the types of services sought by this NOFA #005; or any other information that would aid the Office in assessing whether Applicant is a Responsible Applicant.

Demonstrated Experience\* **397577 - Windstream - Demonstrated Experience.docx**

## References

Name

Telephone Number

Reference Letter #1

Name

Telephone Number

Reference Letter #2

Name

Telephone Number

## Reference Letter #3

**Broadband Grants Core Application - Exhibits B, C, D, and D.1**

Applicant requests that the contents of their application remain confidential in whole or in part, pursuant to Section 1.25 of the NOFA #005.

**DISCLAIMER:** An applicant requesting confidential treatment of portions of an application must attach a redacted public copy of the application. If the applicant fails to attach a redacted public copy pursuant to Section 1.25.1.5 of the NOFA #005, the Office shall be relieved from any responsibility for maintaining the confidentiality of the application pursuant to 1.25.5 of the NOFA #005.

NOTE: Applicants are ONLY required to submit a Public Redacted Copy IF they are requesting confidential treatment with respect to an aspect of their Application. Further, the mere fact that an Applicant submits a Public Redacted Copy (or otherwise requests confidential treatment) does not ensure the aspects of the Application that are redacted (or for which confidential treatment is otherwise sought) will be treated as confidential; the Office will only afford confidential treatment to the extent requests comply with the terms, conditions, and requirements of the NOFA #005, and solely to the extent permitted by Iowa Code Chapter 22 and the Office's corresponding fair information practices rules available at 129 IAC 2.

Full Unredacted Copy\* [397577 - Windstream - Core Application.xlsm](#)

Public Redacted Copy

Overflow Materials Exhibit C

Applicants may provide evidence of need support for their Project by uploading materials through the Iowa Grants System, which materials demonstrate how communities, households, businesses, schools, or hospitals are hindered in their ability to respond to the challenges of COVID-19 due to a lack of at least 25/3 Broadband ("Supplemental Materials of Need"). Such evidence, by way of example only, may include letters signed and endorsed by community officials, including but not limited to the mayor, city administrator, county supervisor, superintendent, hospital administrator, etc.

Supplemental Materials of Need [397577 - Windstream - NOFA005 Attachment 1.docx](#)

**Broadband Grants Program Grant Agreement - Exhibit E**

Exceptions to Broadband Grants Program Grant Agreement\* [397577 - Windstream - Exhibit E.docx](#)

**Certification, Authorization, and Release of Information - Exhibit F**

Certification Letter (Public)\* [397577 - Windstream - Exhibit F.pdf](#)

**Request for Confidentiality or Form 22 - Exhibit G**

Form 22 (Public)\* [397577 - Windstream - Exhibit G.pdf](#)

**Federal Identification Documentation**

DUNS Number\* 109189071

Label upload as "Application Number - Organization Name - SAM".

SAM Registration Upload\* [397577 - Windstream - SAM.pdf](#)

**Project Budget**

Category	Description	Total Estimated Cost \$ (Exhibit D)	Total Estimated Cost \$ (Exhibit D1)	Total Estimated Project Budget (D+D1)	Requested Grant Support %(enter percentage here)	Grant Request (Est. Cost * Request %)
Conduit		\$0.00	\$0.00	\$0.00	0	\$0.00
Fiber/Copper	Buried Fiber - includes materials and labor (trenching, boring, etc.)	\$2,430,192.00	\$59,592.00	\$2,489,784.00	75.0	\$1,867,338.00
OSP Engineering		\$0.00	\$0.00	\$0.00	0	\$0.00
Design Engineering	Engineering (estimated cost per location)	\$2,675.00	\$0.00	\$2,675.00	75.0	\$2,006.25
Construction Mgmt.		\$0.00	\$0.00	\$0.00	0	\$0.00

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Tower		\$0.00	\$0.00	\$0.00	Control Number 397577	\$0.00
Antenna		\$0.00	\$0.00	\$0.00	0	\$0.00
Boring		\$0.00	\$0.00	\$0.00	0	\$0.00
Trenching		\$0.00	\$0.00	\$0.00	0	\$0.00
Knifing		\$0.00	\$0.00	\$0.00	0	\$0.00
Switching Equipment		\$0.00	\$0.00	\$0.00	0	\$0.00
Routing Equipment		\$0.00	\$0.00	\$0.00	0	\$0.00
Optical Equipment	OIMs - Small Form Factor Pluggable Transceiver	\$0.00	\$1,540.00	\$1,540.00	75.0	\$1,155.00
Customer Premise Equipment		\$0.00	\$0.00	\$0.00	0	\$0.00
Other		\$0.00	\$0.00	\$0.00	0	\$0.00
<b>Totals</b>		<b>\$2,432,867.00</b>	<b>\$61,132.00</b>	<b>\$2,493,999.00</b>		<b>\$1,870,499.25</b>

**Minority Impact Statement**

Does the proposed grant program or policy have a disproportionate or unique **positive impact** on minority persons? \*

No

Could the proposed grant program or policy have a disproportionate or unique **negative impact** on minority persons? \*

No

I hereby certify the information above is complete and accurate to the best of my knowledge.\*

Yes

\* Senior Consultant-Kinetic Jason Tompkins  
 Title First Name Last Name

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